

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1685

In re Patent Application of

Michael D. Doyle et al.

Application No.: 09/844,790

Filed: April 26, 2001

For: System and Method for Graphical Indicia

for the Certification of Records

Confirmation No.: 1685

Group Art Unit: 2135

Examiner: Thomas A. Gyorfi

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

SONNENSCHEIN NATH & ROSENTHAL LLP Customer No. 26263

M/S Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, addressed to: M/S Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 23 ,2005.

SONNENSCHEIN NATH & ROSENTHAL LLP

Dated: 6/23/05 By:_

Jeffrey Brill

Sir:

Applicant(s) submit(s) herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe(s) may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits, or before a first office action after filing a Request for Continued Examination under §1.114.
- (d) is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is

known to the undersigned, prior to the mailing date of either a final rejection or a
notice of allowance, whichever occurs first, and is accompanied by either the fee
(\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR §
1.97(e), as checked below.
(e) is filed after the mailing date of either a final rejection or a notice of allowance,
whichever occurred first, and the Issue Fee has not been paid, and is accompanied
by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified
in 37 CFR § 1.97(e), as checked below. This document is to be considered as a
petition requesting consideration of the information disclosure statement.
[If either of boxes (d) or (e) is checked above, the following "certification" under 37 CFR
§ 1.97(e) may need to be completed.] The undersigned certifies that:
(f) Each item of information contained in the information disclosure statement was
cited in a communication mailed from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of this
information disclosure statement.
(g) No item of information contained in this information disclosure statement was
cited in a communication mailed from a foreign patent office in a counterpart
foreign application or, to the knowledge of the undersigned after making
reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c)
more than three months prior to the filing of this information disclosure statement
A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449
(Modified).
A copy of the items on PTO-1449 (Modified) is supplied herewith, except as noted
pelow.
Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached
form PTO-1449 (Modified) are not supplied because they are (a) either U.S. Patents and this an
application filed after June 30, 2003, or (b) were previously cited by or submitted to the Office in
a prior application no, filed, and relied upon in this application for an earlier filing
date under 35 U.S.C. § 120.
A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:
(h) not given

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portions of the references [copy attached].

(i) _	given for each listed item
(j) 🗀	given for only non-English language listed item(s) [Required]
(k) 🗌	is in the form of an English language copy of a Search Report from a foreign
	patent office, issued in a counterpart application, which refers to the relevant

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

You are authorized to charge the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) to the credit card authorization enclosed herewith.

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL LLP

Dated: 6/23/05

Jeffrey Brill, Reg. No. 51,198 Attorney for Applicant(s)

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

09/844,790 **Application Number** April 26, 2001 Filing Date Michael D. Doyle First Named Inventor 2135 Art Unit Thomas A. Gyorfi **Examiner Name**

Considered

Complete if Known

(use as many sheets as necessary)

44423249-6889 Sheet Attorney Docket Number

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Examiner Cite Initials* No.1		Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear	
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	•	EP 96105258.6	10/16/199	6 Mordhay B	arkan et al.		
		EP 96300340.5	08/07/199	6 IBM Corpo	ration		F
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unque citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.